OCT 24 2005 E

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Subhashis Banerjee et al.

Serial No.

: 10/622,928

Filed

: July 18, 2003

Entitled

: TREATMENT OF METABOLIC

DISORDERS USING TNFALPHA

**INHIBITORS** 

Attorney Docket No. BBC-203

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

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date of mailing and signature

Daphne Miller

ART UNIT:

1641

EXAMINER: David J. Blanchard

#### TRANSMITTAL LETTER

# Sir/Madam:

Transmitted herewith are: [X] Change of Attorney Docket Number and Change of Correspondence Address; [X] An Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98; [X] Form PTO-1449 Information Disclosure Statement; [X] A photocopy of each reference cited; and [X] An Acknowledgement Postcard; to be filed in the above-identified patent application.

# FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[ ] A fee for additional claims is required. The additional fee has been calculated as shown below:

	CLAIMS	HIGHEST	NUMBER OF		
	REMAINING	NUMBER	EXCESS	RATE	FEES DUE
	AFTER	PREVIOUSLY	CLAIMS		
	AMENDMENT	PAID FOR			
TOTAL CLAIMS		41	0	×\$18	= 0.00
INDEPENDENT		12	0	× \$84	= 0.00
FIRST INTRODUC	TION OF MUL	Γ. DEPENDEN	T CLAIM	+\$280	= 0.00
TOTAL FEES DU	= 00.00				

# PETITION FOR EXTENSION OF TIME

Extension is requested under 37 CFR 1.136(a), and the following extension fee is applicable for the
paper(s) filed herewith: [ ] \$110.00 for response within first month pursuant to 37 CFR 1.17(a)(1);
[ ] \$430.00 for response within second month pursuant to 37 CFR 1.17(a)(2);
[ ] \$980.00 for response within third month pursuant to 37 CFR 1.17(a)(3);
[ ] \$1,530.00 for response within fourth month pursuant to 37 CFR 1.17(a)(4).
[ ] \$2,080.00 for response within fifth month pursuant to 37 CFR 1.17(a)(5).

[X] The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to **Deposit Account No.**010025. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

Tara Seshadri, Ph.D. Agent for Applicant Registration No. 48,591 Abbott Bioresearch Center 100 Research Drive

Worcester, MA 01605-4314 Telephone: (508) 688-8058 Telefax: (508) 688-8110

# OCT 24 2005 W

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ART UNIT: 1641

EXAMINER: David J. Blanchard

Daphne Miller

dication of:

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Daté

Change of Attorney Docket Number and Change of Correspondence Address (37 CFR 1.8(a))

Sir/Madam:

Please note that the Attorney Docket No. for the above-identified application has been changed from BPI-191 to BBC-203.

Please send all correspondence relating to the above patent application to:

Tara Seshadri Abbott Bioresearch Center 100 Research Drive Worcester, MA 01605

Respectfully submitted,

Tară Seshadri, Ph.D. Agent for Applicant Registration No. 48,591 Abbott Bioresearch Center

100 Research Drive

Worcester, MA 01605-4314 Telephone: (508) 688-8058 Telefax: (508) 688-8110



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Daphne Miller

ART UNIT: 1641

EXAMINER: David J. Blanchard

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§§ 1.56, 1.97 AND 1.98

## Sir/Madam:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 for the above-identified application. Applicants makes the following publications of record in the above-identified application.

## U.S. Patent Documents

Salfeld et al., July 18, 2000, U.S. Patent No. 6,090,382

Salfeld et al., July 10, 2001, U.S. Patent No. 6,258,562

Salfeld et al., January 21, 2003, U.S. Patent No. 6,509,015

#### Foreign Patent Documents

Kempeni et al., December 19, 2002, WO 02/100330

Fischkoff et al., January 15, 2004, WO 2004/004633

Krause et al., February 26, 2004, WO 2004/016286

Kaymakcalan et al., May 6, 2004, WO 2004/037205

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Westman (1968) Diabetologia 4:141-149

Zhang et al. (1999) J Tongji Med Univ. 19:203-205

Zinman B, et al., (1999) J Clin Endocrinol Metab. Jan; 4(1):272-278.

Form PTO-1449 listing each of these documents and photocopies of each document are enclosed herewith for the Examiner's convenience.

This paper is being mailed before the mailing of a first Office action. Pursuant to 37 CFR §1.97(b)(4), no fee is required with this submission.

Applicant does not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

ara Schadi

Tara Seshadri, Ph.D. Agent for Applicant

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PTO/SB/08A (07-05)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

Sheet

Complete if Known			
Application Number	10/622,928		
Filing Date	July 18, 2003		
First Named Inventor	S. Banerjee		
Art Unit	1641		
Examiner Name	David J. Blanchard		
Attorney Docket Number	BBC-203		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,090,382	07-18-2000	Salfeld et al.	
		<sup>US-</sup> 6,258,562	07-10-2001	Salfeid et al.	
		<sup>US-</sup> 6,509,015	01-21-2003	Salfeld et al.	
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Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	1	
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
		WO 02/100330	12-19-2002	Abbott Biotechnology Ltd.		
		WO 04/004633	01-15-2004	Abbott Biotechnology Ltd.	-	
		WO 04/016286	02-26-2004	Abbott Laboratories (Berm		Г
		WO 04/037205	05-06-2004	Abbott Biotechnology Ltd.	-	
		WO 97/29131	08-14-1997	BASF Aktiengesellschaft		
						Г

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Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/622,928	
			CLOSURE	Filing Date	July 18, 2003	
STATEMENT BY APPLICANT		First Named Inventor	S. Banerjee			
(Use as many sheets as necessary)			acessary)	Art Unit	1641	
				Examiner Name	David J. Blanchard	
Sheet	2	of	6	Attorney Docket Number	BBC-203	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
· .		BAEDER et al, "Rapamycin prevents the onset of insulin-dependent diabetes mellitus (IDDM) in NOD mice", Clin Exp Immunol (1992)89, 174-178	
*		BAILEY et al, "Infludence of genetic background and age on the expression of the obese hyperglycaemic snydrome in Aston ob/ob mice", Int. J. Obesity (1982)6, 11-21	
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		BENJAFIELD et al, "TNFRSF1B in Genetic Predisposition to Clinical Neuropathy and Effect on HDL Cholesterol and Glycosylated Hemoglobin in Type 2 Diabetes", Diabetes Care (2001 Apr) 24(4), 753-757	
		BLUHER et al, "Plasma Levels of Tumor Necrosis Factor-alpha, Angiotensis II, Growth Hormone, and IGF-I Are not Elevated in Insulin-Resistant Obese Individuals with Impaired Glucose Tolerance", Diabetes Care (2001 Feb)24(2), 328-334	
		BRAY & YORK, "Genetically Transmitted Obesity in Rodents", Psysiological Reviews, (1971) 51, 598-646	
		CHU et al, "Glycemic status and soluble tumor necrosis factor receptor levels in relation to plasma leptin concentrations among normal weight and overweight US men" Int J Obes (2000) 24, 1085-1092	
		CLAUSELL et al, "Increased Expression of Tumor Necrosis Factor-alpha in Diabetic Macrovasculopathy", Cardiovasc Pathol, (1999 May/June)8(3), 145-151	
		COLEMAN, "Obese and Diabetes: Two Mutant Genes Causing Diabetes- Obesity Syndromes in Mice*", Diabetologia (1978)14, 141-148	
		DAIMON M, et al, "Decreased Serum Levels of Andiponectin Are a Risk Factor for the Progression to Type 2 Diabetes in the Japanese Population", Diabetes Care (2003 Jul) 26(7), 2015-2020	

Examiner	
Examiner   Date	
Signature Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b></b>	034	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DEVARAJ et al, "Low -Density Lipoprotein Postsecretory Modification, Monocyte Function, and Circulating Adhesion Molecules in Type 2 Diabetic Patients With and Without Macrovascular Complications", Circulation (2000 Jul 11), 102(2), 191-196	
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		HOTAMISLIGIL GS et al, "Adipose Expression of Tumor Necrosis Factor-alpha: Direct Role in Obesity-Linked Insulin Resistance", Science (1993 Jan 1)259, 87-91	
		ISHII et al, "Tumor Necrosis Factor Alpha Gene G-308A Polymorphism, Insulin Resistance, and Fasting Plasma glucose in Young, Older, and Diabetic Japanese Men", Metabolism (2000 Dec) 49(12), 1616-1618	
		KATSUKI et al, "Serum Levels of Tumor Necrosis Factor-aplha Are Increased in Obese Patients with Noninsulin-Dependent Diabetes Mellitus*", J Clin Endocrinol Metab (1998 Mar)83(3), 859-862	
		KOLB, "Mouse Models of Insulin Dependent Diabetes: Low-Dose Streptozocin-Induced Diabetes and Nonobese Diabetic (NOD) Mice", Diabetes/Metab Rev (1987)3, 751-778	

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		LECHLEITNER et al, "Tumour necrosis factor-alpha plasma levels in elderly patients with Type 2 diabetes mellitus-observations over 2 years" Diabetes Med (2002) 19 (11), 949-953	
		LEE et al, "TNF and TNF Receptor Polymorphisms in Korean Behcet's Disease Patients", Human Immunol (2003)64(6), 614-620	
·		MAKINO et al, "Breeding of a Non-Obese, Diabetic Strain of Mice", Exp Anim (1980)29, 1-13	
		MCCALL et al, "Serum tumour necrosis factor alpha and insulin resistance in gastrointestinal cancer" Br. J. Surg (1992 Dec)79(12), 1361-1363	
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		NAVARRO et al, "Inflammatory Parameters Are Independently Associated With Urinary Albumin in Type 2 Diabetes Mellitus", Am J Kidney Dis (2003 Jul)42(1), 53-61	
• .		NISHINA et al, "Atherosclerosis in Genetically Obese Mice: The Mutants Obese, Diabetes, Fat, Tubby, and Lethal Yellow" Metab (1994)43, 554-558	
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		PFEIFFER, et al, "Circulating Tumor Necrosis Factor alpha is Elevated in Male but Not in Female Patients With Type II Diabetes Mellitus", Horm Metab Res (1997)29, 111-114	

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Signature	Considered	

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		PIHLAJAMAKI et al, "The Effect of the-308A Allele of the TNF-alpha Gene on Insulin Action Is Dependent on Obesity", Obes Res (2003 Jul)11(7), 912-917	
		QIANG et al, "Inhibitory effect of troglitazone on diabetic neuropathy in streptozotocin-induced diabetic rats", Diabetologia (1998)41, 1321-1326	
: 		SCHOLZ et al, "Human cytomegalovirus retinitis: pathogenicity, immune evasion and persistence", Trends Microbiol (2003)11, 171-178	
		SPIEGELMAN et al, "Through Thick and Thin: Wasting, Obesity, and TNFalpha", Cell (1993 May 21)73(4), 625-627	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	Application Number	10/622,928	
INFORMATION DISCLOSURE	Filing Date	July 18, 2003	
STATEMENT BY APPLICANT	First Named Inventor	S. Banerjee	
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,	Examiner Name	David J. Blanchard	
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		ZINMAN et al, "Circulating Tumor Necrosis Factor-apiha Concentrations in a Native Canadian Population with High Rates of Type 2 Diabetes Mellitus", J Clin Endocrinol Metab (1999 Jan) 4(1),272-278						

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